

**COMMITTEE CONSTITUTED UNDER BOMBAY HIGH COURT  
ORDER DATED 2<sup>ND</sup> SEPTEMBER 2014 IN  
SUIT NO.173 OF 2014 AND OTHER RELATED SUITS**

**Mr. Justice V. C. Daga**  
Former Judge-Bombay H.C.  
**Chairman**

**Mr. J. S. Solomon**  
Advocate & Solicitor  
**Member**

**Mr. Yogesh Thar**  
Chartered Accountant  
**Member**

**CIRCULAR**

**Sub:** Proforma for information relating to  
National Spot Exchange Ltd. ("NSEL")

As directed by the High Court Committee constituted by the Hon'ble Bombay High Court under Order dated 2<sup>nd</sup> September, 2014 in Suit No. 173 of 2014 and related matters ("HCC"), Members/Clients of Members of NSEL are required to furnish information in the proforma annexed, to the Secretary-Legal of HCC.

Accordingly, Members/Clients of Members of NSEL are required to furnish information to the Secretary Legal of HCC in the proforma annexed duly signed by the Member/Client of Member of NSEL with the signature duly verified by a Notary Public/Judicial Magistrate/Executive Magistrate.

The Proforma with the required information duly signed and verified may be forwarded by email to Secretary-Legal of HCC at [secretarylegalthcc@gmail.com](mailto:secretarylegalthcc@gmail.com) and a physical copy thereof should be sent by registered post/courier/hand delivery at the following address not later than 30<sup>th</sup> June 2015:

Mr. Yogesh Parikh,  
Secretary-Legal (High Court Committee)  
1<sup>st</sup> Floor, Committee Room (Aster),  
World Trade Centre-1, Cuff Parade,  
Mumbai – 400005.

Dated this                      day of April 2015.

  
(Y. C. PARIKH)

Secretary-Legal

For and on behalf of the Committee

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Address: 1<sup>st</sup> Floor, Committee Room (Aster), World Trade Centre-1, Cuff Parade, Mumbai – 400005.

Proforma of information to be provided by Member / Client of Member of National Spot Exchange Ltd. to High Court Committee constituted by the Hon'ble Bombay High Court under Order dated 2<sup>nd</sup> September 2014 in Suit No.173 of 2014 and other related matters ("HCC")

Member Id: \_\_\_\_\_ Client Id: \_\_\_\_\_

The information should be provided for the given Member ID, Client ID, even if traded through more than one Member (broker). All the details should be provided as required and where not applicable, "Not Applicable" or "NA" should be given.

To,  
Mr. Yogesh C. Parikh,  
Secretary-Legal, High Court Committee,  
1<sup>st</sup> Floor, Committee Room (Aster),  
World Trade Centre-1, Cuff Parade, Mumbai – 400005.

1	Full Name of Member/Client(s)	
2	Permanent Account Number (PAN No.)	
3	Email id	
4	Contact Number	Mobile: Other:
5	Contact Address	
6	Type of Entity (Tick whichever is applicable)	<input type="checkbox"/> Individual <input type="checkbox"/> HUF <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Others: (Please specify)
7	Whether any amount deployed by you at NSEL trade platform was borrowed from any trading member of NSEL. If so, please provide name of the trading member of NSEL and amount borrowed.	
8	Net Amount receivable by you as pay-out as on 31 <sup>st</sup> July 2013 from NSEL / Member of NSEL	Rs. _____ (in words _____ _____ )
9	Have you received all payouts as declared by NSEL post 17 <sup>th</sup> August 2013 through your broker?	<input type="checkbox"/> Yes <input type="checkbox"/> No
10	Amount received by you as a part of special payout which was made by NSEL for small investors having claims of less than 10 lakhs.	Rs. _____ (in words _____ _____ )
11	Total amount received by you post 17 <sup>th</sup> August 2013 till 31 <sup>st</sup> March 2015 other than special payout.	Rs. _____ (in words _____ _____ )
12	Net Amount outstanding to be received by you as pay-out as on 31 <sup>st</sup> March 2015 from NSEL / Member of NSEL.	Rs. _____ (in words _____ _____ )

Place:  
Date:

Signature:  
Designation:

Full Name and address of Member / Client

Signature  
Affirmed before Notary Public/Judicial Magistrate /  
Executive Magistrate.